



Michelle Mackey

CFE, CRMA, MBA

PARTNER

Experience

Ms. Mackey brings to The MFA Companies® over eighteen years of business and financial experience. She plays an instrumental role in helping the Firm’s customers optimize performance, control risk and adhere to regulatory compliance. Her ability to examine, identify and evaluate complex regulations, procedures and systems results in proven, practical recommendations that positively impact customers’ business processes.

Michelle is sought after by customers for her expertise, particularly in the areas of business process efficiency, Sarbanes-Oxley (SOX) compliance, SSAE 18 audits and System and Organization Controls reports (SOC 1, SOC 2 and SOC 3), internal audits, litigation support and forensic accounting. In addition, as a Certified Fraud Examiner (CFE), Michelle works closely with customers to identify areas of fraud susceptibility within their current systems, procedures and transactions. She also assists in the development, review and refinement of customers’ internal controls and critical oversight processes.

Michelle also serves as the Firm’s Chief Compliance Officer and is responsible for recommending, initiating and administering policies and procedures to help the Firm maintain and adhere to regulatory compliance standards and best practices. She has experience with all facets of compliance including the administration of policies and procedures, RIA annual testing, advertising and marketing reviews, and regulatory responsiveness. Michelle also serves as Chair of the Firm’s Investment Committee.

Expertise

- SEC regulatory compliance
- SEC surprise examinations
- Regulatory due diligence
- Business process efficiencies
- Internal audit
- Sarbanes-Oxley compliance and readiness services
- SSAE 18 audit services and Service Organization Control reports (SOC 1, SOC 2 and SOC 3)
- Annual SEC filings – ADV’s Part 1, Part 2A and 2B
- Compliance program maintenance
- Fraud investigations and fraud prevention programs
- Forensic accounting
- Internal control design and testing
- Internal control evaluation and remediation
- Business process efficiency

Education

- MBA – Master of Business Administration; Southern New Hampshire University
- Graduate Certificate – Forensic Accounting and Fraud Examination
- BS – Accounting/Finance, *Magna Cum Laude*; Southern New Hampshire University

Certifications

- CFE – Certified Fraud Examiner
- CRMA – Certified Risk Management Assurance

Professional Affiliations

- Association of Certified Fraud Examiners
- Institute of Internal Auditors
- NH Granite State Chapter of the Association of Certified Fraud Examiners